

GREATER PEORIA MASS TRANSIT DISTRICT (GPMTD)
DBE PROGRAM GOAL EVALUATION
Federal FY 2021 - 2023

The Greater Peoria Mass Transit District has developed a 9% overall Disadvantaged Business Enterprise (DBE) goal applicable to Federal Fiscal Year's 2021 thru 2023 contracting opportunities assisted by the Federal Transit Administration (FTA) in accordance with revisions to 49 CFR Part 26 published in the Federal Register. This is a 100% race neutral goal.

GPMTD has determined its annual DBE goal of 9% as a percentage of all FTA funds (exclusive of FTA funds to be used for the purchase of revenue vehicles). The goal is applicable to a total of \$14,434,208 of FTA dollars that are budgeted for contracts anticipated to be awarded from October 01, 2020, through September 30, 2023. In the event circumstances change, the District will evaluate such changes to determine whether the present goal continues to be realistic or whether mid-course adjustments will be necessary.

The DBE goals developed for FTA-assisted contracts identify the relative availability of DBEs, based on evidence of ready, willing and able DBEs in relationship to all comparable businesses that are likely to be available to compete for the District's FTA-assisted contracts. The three-year overall goal reflects the District's determination of the level of DBE participation.

DATA CONSULTED

Census Bureau's County Business Pattern (CBP) Database

The District used the Census Bureau's County Business Pattern (CBP) database, to obtain the number of all firms (DBEs and non-DBEs) ready, willing and able to bid for the District's FTA-assisted contracts. This data is used in Step One of the goal-setting process.

Illinois Unified Certification Program (ILUCP) Database

The District used the Illinois Unified Certification Program (ILUCP) database to determine the number of certified DBEs ready, willing and able to bid for the District's FTA-assisted contracts. This data is used in Step One of the goal setting process

Bidders List

The District maintains a bidders list as required under 49 CFR Part 26.11 of all bidders (DBEs and non-DBEs) on District contracts. Staff reviewed its list and determined the data was not comprehensive enough to determine the relative availability of DBEs in its local market area.

DETERMINING LOCAL MARKET AREA

The District anticipates the substantial majority of subcontractors interested in this project will be within the state of Illinois.

In the past the District determined the market area to be within the counties of McLean, Peoria, Woodford and Tazewell. However, the scale of these projects is likely spur interest with markets outside of that radius, and we therefore included the entire state of Illinois in determining our geographic market area.

CONTRACTS ASSISTED BY FTA

GPMTD has confirmed a total of \$14,434,208 of FTA dollars is budgeted for contracts to be awarded during Federal Fiscal Years 2021 through 2023. **See Exhibit 1 below.** A two-step process is used to determine the overall goal for these contracts.

GPMTD was awarded FY2019 FTA 5339(c) Low or No Emissions funding to support the purchase of two (2) battery electric buses, their chargers and associated infrastructure. GPMTD was also awarded FY2017 and FY2020 FTA 5339(b) Buses and Bus Facilities funding to support the construction and renovations of its new Maintenance, Operations and Administrative Facility.

Component A includes plans to construct and renovate our existing Maintenance, Operations and Administrative Facility. The project includes the demolition and construction of a new Facility and renovation of our existing bus storage facility.

Component B includes plans to replace the aging canopy roof located at GPMTD’s Transit Center.

Component C includes the construction and installation of battery electric charging stations within our existing maintenance facility to support new Battery Electric buses.

Exhibit 1: Contracts subject to overall three-year goal.

Component	NAICS Code	Description of Contract Anticipated for award	FTA Dollars
A	238910	Demolition Contractor	\$2,550,000.00
	237310	Concrete Paving	\$260,000.00
	561730	Landscaping Services	\$40,000.00
	221122	Electric Power Distribution	\$270,000.00
	236220	General Contractor	\$225,000.00
	238110	Concrete Construction	\$2,000,000.00
	238140	Masonry Contractors	\$168,000.00
	238130	Framing Contractors	\$1,190,000.00
	238350	Carpentry Work	\$200,000.00
	238170	Metal Siding installation	\$980,000.00
	238160	Roof Membrane Installation	\$415,000.00
	238290	Overhead Door Installation	\$695,000.00
	238310	Drywall Contractor	\$41,000.00
	238320	Painting Contractor	\$72,000.00
	327110	Bathroom Accessories	\$7,000.00
	332321	Doors	\$4,000.00
	423990	Fire Extinguishers	\$7,000.00
	238220	Plumbing, HVAC and Fire Suppression	\$3,493,000.00
	238210	Electrical Contractors	\$980,000.00
	238990	Sign Erection	\$3,000.00
B	238160	Roofing Contractors	\$200,000.00
	423330	Roofing Materials wholesalers	\$280,000.00
C	236220	Commercial Industrial Building Construction	\$101,125.00
	423610	Electrical Supplies wholesalers	\$107,558.00
	541310	Architectural Design Services	\$32,550.00
	541330	Civil Engineering Services	\$55,855.00
	238210	Electrical Contractors	\$57,120.00

STEP ONE DETERMINING BASE FIGURES

Step 1: The base figures were calculated for contracts budgeted for award to determine the relative availability of DBEs in specific areas of expertise using the North American Industrial Classification System (NAICS) codes.

Number of DBEs: To determine the number of ready, willing, and able DBEs in the District’s market area, the District searched the Illinois Unified Certification Program (ILUCP) database for DBEs that are certified in the NAICS codes identified for the prime contracts and subcontracts as shown in Table 2, Column C.

To determine the number of ready, willing, and able businesses with North American Industry Classification System (NAICS) codes in the associated market area we searched in the US Census Bureau database with NAICS codes as shown in Table 2, Column D.

The base figure for the overall goal relative availability of DBE’s was calculated as follows:

EXPLANATION: To determine the base figure of available DBE’s the GPMTD constructed a methodology for assessment of relative DBE availability which starts with step one as proposed in 49CFR PART 26 Section 26.45(c). The total number of businesses in the local market utilizing the same NAICS codes whether prime or subcontractors were 32,232. The total number of DBE prime or subcontractors was 2034. Which is an overall DBE availability of 6.31%.

Step 2: The GPMTD weighted the relative availability to reflect the amount of money that is programmed to be spent in each NAICS area by sorting out DBE’s from IL UCP directory by NAICS Codes to determine the percentage likely to be interested in FTA assisted contracting opportunities. This ensures a more precise availability figure.

Exhibit 2:

	A	B	C	D	E	F	G
Component	NAICS Code	Description of Contract Anticipated for award	# DBE Available (IL UCP Directory)	# Firms Available (data.census.gov)	Relative Availability =C/D	Weight (% of Total Grant Funds)	Weighted Base (=E*F)
A	238910	Demolition Contractor	126	1127	11.18%	18.75%	2.10%
	237310	Concrete Paving	291	386	75.39%	1.91%	1.44%
	561730	Landscaping Services	112	4679	2.39%	0.29%	0.01%
	221122	Electric Power Distribution	1	203	0.49%	1.99%	0.01%
	236220	General Contractor	129	1384	9.32%	1.65%	0.15%
	238110	Concrete Construction	154	795	19.37%	14.71%	2.85%
	238140	Masonry Contractors	40	892	4.48%	1.24%	0.06%
	238130	Framing Contractors	48	392	12.24%	8.75%	1.07%
	238350	Carpentry Work	81	1376	5.89%	1.47%	0.09%
	238170	Metal Siding installation	9	439	2.05%	7.21%	0.15%
	238160	Roof Membrane Installation	19	883	2.15%	3.05%	0.07%
	238290	Overhead Door Installation	26	320	8.13%	5.11%	0.42%
	238310	Drywall Contractor	51	564	9.04%	0.30%	0.03%
	238320	Painting Contractor	111	1524	7.28%	0.53%	0.04%
	327110	Bathroom Accessories	0	23	0.00%	0.05%	0.00%
332321	Doors	6	32	18.75%	0.03%	0.01%	

	423990	Fire Extinguishers	24	402	5.97%	0.05%	0.00%
	238220	Plumbing, HVAC and Fire Suppression	61	4084	1.49%	25.68%	0.38%
	238210	Electrical Contractors	87	2722	3.20%	7.21%	0.23%
	238990	Sign Erection	108	1489	7.25%	0.02%	0.00%
B	238160	Roofing Contractors	19	883	2.15%	41.67%	0.90%
	423330	Roofing Materials wholesalers	2	143	1.40%	58.33%	0.82%
C	236220	Commercial Industrial Building Construction	129	1387	9.32%	28.55%	2.66%
	423610	Electrical Supplies wholesalers	46	570	8.07%	30.37%	2.45%
	541310	Architectural Design Services	56	964	5.81%	9.19%	0.53%
	541330	Civil Engineering Services	211	1850	11.41%	15.77%	1.80%
	238210	Electrical Contractors	87	2722	3.20%	16.13%	0.52%
Rounded, Weighted Base Figure							9.00%

STEP TWO ADJUSTING STEP ONE BASE FIGURES

Step Two requires GPMTD to examine all evidence in its jurisdiction to determine what adjustment, if any, is needed to the base figure to determine a final overall goal.

Based on GPMTD's evaluation no adjustment to the base figure is warranted at this time. The following factors were evaluated and considered when making our decision:

Past Participation

During the period of the current triennial GPMTD will have a large federal project, the Maintenance and Administration Facility Construction and Renovation. Past participation cannot be used as a considering factor for an adjustment because the upcoming contracting opportunities are not similar to those in the past.

Disparity Study

There are no State or local disparity studies of the types of contracts involved in FTA assisted contracts.

Statistical Disparities

GPMTD has no relevant data indicating that the ability of the DBE's to develop and compete for FTA-assisted contracts are compromised by the availability of financing, bonding and insurance.

Additional Data for Consideration

Research resulted in on relevant information related to employment, self-employment, education and training, union apprenticeship programs, that would provide a better measurement of work that DBE's may obtain in the absence of discrimination.

GPMTD has no relevant data indicating DBE's inability to develop and compete for FTA-assisted contract.

GOAL DETERMINATION

It was determined that there is no additional relevant data that would necessitate an adjustment of the base figure. As a result, GPMTD has established a DBE participation goal of 9% for the agency exclusive of FTA funds to be used for the purchase of transit vehicles for the three forthcoming years.

CONSULTATION

GPMTD understands the importance of public participation in the DBE goal establishment process as required by 49 CFR 26.45 (g) (1). Therefore, GPMTD posted the preliminary DBE goal on its website and the goal was made available for public review at GPMTD administrative offices during normal business hours. <https://www.ridecitylink.org/resources/disadvantaged-business/>

The District will continue its regular race neutral activities, including:

- ✓ Ensuring that bidding and contract requirements facilitate participation by DBEs and other small businesses by including DBE language throughout District bid documents:
 - The District's DBE Program policy.
 - A nondiscrimination assurance from the contractor (and each subcontract the prime contractor signs with a subcontractor).
 - The website address for the DBE directory identifying all firms eligible to participate as DBEs in the District's program.
 - A section that provides the DBE certification standards.
 - A section providing areas for possible subcontracting, and of the availability of ready, willing and able subcontractors, including DBE firms, to perform such work.
- ✓ Ensuring contracts are accessible to small businesses, and if not, making efforts to unbundle large contracts to make them more accessible.
- ✓ Encouraging prime contractors to subcontract portions of the work that they might otherwise perform themselves.
- ✓ Providing technical assistance and other support services to facilitate consideration of DBEs and other small businesses.
- ✓ Advertising contracts in Small Business Exchange, a minority-owned small business-focused publication.
- ✓ At pre-bid conferences, providing prospective contractors/consultants the following:
 - Applicable small business/DBE requirements.
 - Areas for possible subcontracting opportunities.
 - A helpful guide to navigate through the ILUCP database to create lists of the ready, willing and able small businesses, including DBE firms, to perform such work.
- ✓ Regularly notifying certified DBEs and minority- and women-focused organizations by e-mail of newly posted contracting opportunities on the District's website.
- ✓ Regularly notifying certified DBEs and non-DBEs about networking events.
- ✓ On a quarterly basis, reminding and encouraging officers, division managers, department heads, purchasers and buyers to utilize the DBE database.

DBE Outreach

GPMTD will host an annual DBE public outreach event on the last Thursday of every May. The invitation to this event was sent directly to 161 individuals listed as IDOT UCP certified in the appropriate NAICS codes. The event will be publicized in the legal section of the area's local newspaper, on GPMTD's website and GPMTD's social media.

At the event, GPMTD will present all upcoming project opportunities, its DBE methodology and goal, anticipated project schedules, general construction scopes, resources and accept feedback and questions. GPMTD will maintain all records of the event, including who was invited, attendees, and comments.